



Auditing Windows NT 4.0

Sven Lehmberg





Agenda



Event Viewer and User Manager

Analyzing Audit Logs



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Two important programs in NT 4.0



and

User Manager User Manager for Domains

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Event Viewer



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Event Viewer - Secur	ity Log on \\RLA01079
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Log ⊻iew <u>O</u>ptions <u>H</u>elp

Date	Time	Source	Category	Event	User	Computer
9 11/7/99	12:04:18 PM	Security	Privilege Use	578	Garuda	RLA01079
🔍 11/7/99	12:02:07 PM	Security	System Event	515	SYSTEM	RLA01079 -
🔍 11/7/99	12:02:07 PM	Security	Privilege Use	577	SYSTEM	RLA01079
🔍 11/7/99	12:02:02 PM	Security	System Event	515	SYSTEM	RLA01079
🔍 11/7/99	12:02:02 PM	Security	Privilege Use	577	SYSTEM	RLA01079
🔍 11/7/99	12:02:01 PM	Security	Privilege Use	577	SYSTEM	RLA01079
🔍 11/7/99	12:02:01 PM	Security	System Event	515	SYSTEM	RLA01079
🔍 11/7/99	12:01:51 PM	Security	Privilege Use	577	SYSTEM	RLA01079
🔍 11/7/99	12:01:51 PM	Security	System Event	515	SYSTEM	RLA01079
🔍 11/7/99	12:01:49 PM	Security	Privilege Use	577	SYSTEM	RLA01079
🔍 11/7/99	12:01:49 PM	Security	System Event	515	SYSTEM	RLA01079
🔍 11/7/99	12:01:46 PM	Security	Privilege Use	577	SYSTEM	RLA01079
<u>© 11/7/00</u>	12-01-46 DM	Socurity	Suctom Evont	<u>515</u>	SVSTEM	

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Shortcut to

Dial-Up ...







🍕 12:08 PM

HOWTO Enable Auditing ?



🕷 User Manager - \\RLA01	079		_ 🗆 🗵
<u>User</u> <u>View</u> <u>Policies</u> <u>Options</u>	<u>H</u> elp		
Username	Full Name	Description	
👲 Administrator		Decoy Account	
👷 Gandalf		User	
Saruda SGuest		New Admin Account Forgotten who that is ;-)	
Groups	Description		
Administrators Backup Operators Cuests Replicator Coers Users	Members can fu Members can by Users granted g Members can sł Supports file rep Ordinary users	Ily administer the computer/domain ypass file security to back up files uest access to the computer/domain nare directories and printers ilication in a domain	

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What to Audit ?





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Logon and Logoff



Audit Policy			×
Computer: RLA01079			ОК
◯ <u>D</u> o Not Audit			Cancel
_ ● Audit These Events:			
	Success	Failure	
Logon and Logoff			
File and Object Access			
<u>U</u> se of User Rights			
User and <u>G</u> roup Management			
Security Policy Changes			
Bestart, Shutdown, and System			
Process Tracking			

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Logon Type and Processes



Logon Type:

- 2 : Interactive
- 3 : Network
- 4 : Batch
- 5 : Service
- 6 : Proxy
- 7 : Unlock Workstation

Authentication Package: MICROSOFT_AUTHENTIC ATION_PACKAGE_V1_0

Logon Process:

- KSecDD
- User32 or WinLogon\MSGina
- SCMgr
- LAN Manager Workstation Service
 - advapi
 - MS.RADIUS



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Event Detail – No Logon Right over Network

Event Deta	nil				×
Date: Time: <u>U</u> ser: Co <u>m</u> puter:	1/13/00 10:59:43 NT AUT M26248) 3 AM HORITY\SYSTE PP	Event ID: Source: Type: Category:	534 Security Failure Audit Logon/Logoff	
<u>D</u> escription	n:				
Logon Fa	ilure: Reason: Domain: Logon Ty Logon Pr Authentio)FT_AUT Workstal	The user has no logon type at thi me: steve KOSM ype: 3 rocess: KSec cation Package: HENTICATION_ TION_M2	ot be granted s machine martin 10S DD PACKAGE_1 7902PP	d the requested	×
D <u>a</u> ta: C	<u>Bytes</u>	O <u>W</u> ords			
T Ci	ose	<u>P</u> revious	Next	<u>H</u> elp	

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File and Object Access



Audit Policy			×
Computer: RLA01079			ОК
🔿 <u>D</u> o Not Audit			Cancel
⊙ <u>A</u> udit These Events: ———			
	Success	Failure	<u>H</u> elp
Logon and Logoff			
Eile and Object Access			
<u>U</u> se of User Rights			
User and <u>G</u> roup Management			
Security Policy Changes			
<u>R</u> estart, Shutdown, and System			
Process Tracking			

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File And Registry Auditing

		•					-rdense to
ile Auditing			×	Registry Key Auditing			×
<u>F</u> ile: C:\WINNT\repair\sam Name: <u>Caruda</u>			OK Cancel <u>A</u> dd Re <u>m</u> ove <u>H</u> elp	Registry <u>K</u> ey: HARDWARE Audit Permission on Existing Su <u>N</u> ame: Gandalf	ıbkeys		OK Cancel Add Remove <u>H</u> elp
Events to Audit				Events to Audit			
	Success	Failure			Success	Failure	
<u>R</u> ead				Query Value			
<u>W</u> rite				Set Value			
Execute				Create Subkey			
<u>D</u> elete				Enumerate Subkeys			
Change <u>P</u> ermissions				Notify			
Take <u>O</u> wnership				Create Link			
				Delete			
				Write DAC			
				Read Control			

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File System Access Types



	Full		Read&Execute,		
	control	Modify	List folders	Read	Write
Traverse folder / Execute file	Х	Х	X		
List folder / Read data	Х	Х	X	Х	
Read attributes	Х	Х	X	Х	
Read extended attributes	Х	Х	Х	Х	
Create files / Write data	Х	Х			Х
Create folders / Append data	Х	Х			Х
Write attributes	Х	Х			Х
Write extended attributes	Х	Х			Х
Delete subfolders and files	Х				
Delete	Х	Х			
Read permissions (READ_CONTROL)	Х	Х	Х	Х	Х
Change permissions (WRITE_DAC)	X				
Take ownership (WRITE_OWNER)	Х				
Synchronize	Х	Х	X	Х	Х

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Registry Access Types



- Query Value
- Set Value
- Create Subkey
- Enumerate Subkeys
- Notify

- Create Link
- Delete
- Write DAC
- Read Control



Use of User Rights



Audit Policy			×
Computer: RLA01079			ОК
◯ <u>D</u> o Not Audit			Cancel
_−⊙ <u>A</u> udit These Events:			
	Success	Failure	<u>H</u> eip
Logon and Logoff			
File and Object Access			
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27 User Rights



Access this Computer from Network	Debug programs – SeDebugPrivilege	Log on locally
Act as part of the operating system - SeTcbPrivilege	Force shutdown from a remote system – SeRemoteShutdownPrivilege	Manage auditing and security - SeSecurityPrivilege
Add workstation to domain –	Generate security audits –	Modify firmware environment values –
SeMachineAccountPrivilege	SeAuditPrivilege	SeSystemEnvironmentPriv.
Backup files and directories –	Increase quotas –	Profile single process –
SeBackupPrivilege	SeIncreaseQuotaPrivilege	SeProfileSingleProcessPriv.
Bypass traverse checking –	Increase scheduling priority –	Profile system performance –
SeChangeNotifyPrivilege	SeIncreaseBasePriorityPriv.	SeSystemProfilePriv.
Change the system time – SeSystemTimePrivilege	Load and unload device drivers – SeLoadDriverPrivilege	Replace a process level token – SeAssignPrimaryTokenPriv.
Create a pagefile –	Lock pages in memory –	Restore files and directories –
SeCreatePagefilePrivilege	SeLockMemoryPriv.	SeRestorePriv.
Create a token object –	Log on as a batch job –	Shut down the system –
SeCreateTokenPrivilege	SeBatchSID	SeShutdownPriv.
Create permanent shared objects –	Log on as a Service –	Take ownership of files or other objects
SeCreate PermanentPrivilege	SeServiceSID	– SeTakeOwnershipPriv.

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Event Detail – Use of Us	ser Rights
Event Detail	Event Detail
Date:11/15/99Event ID:578Time:12:17:46 PMSource:SecurityUser:GarudaType:Success AuditComputer:RLA01079Category:Privilege Use	Date:17.01.00Event ID:577Time:15:20:35Source:SecurityUser:ShivaType:Success AuditComputer:RLA01079Category:Privilege Use
Description:	Description:
Object Server: EventLog Object Handle: 0 Process ID: 2156729088 Primary User Name: SYSTEM Primary Domain: NT AUTHORITY Primary Logon ID: (0x0,0x3E7) Client User Name: Garuda Client Domain: RLA01079 Client Logon ID: (0x0,0x38A3) Privileges: SeSecurityPrivilege	Privileged Service Called: Server: Security Service: - Primary User Name: Shiva Primary Domain: RLA01079 Primary Logon ID: (0x0,0x135E20) Client User Name: - Client Domain: - Client Logon ID: - Privileges: SeSystemtimePrivilege
D <u>a</u> ta: [©] <u>B</u> ytes [©] <u>W</u> ords ▲	D <u>ata:</u>
<u>Close</u> <u>Previous</u> <u>N</u> ext <u>H</u> elp	Close <u>Previous N</u> ext <u>H</u> elp

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User and Group Management

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Audit Policy			×
Computer: RLA01079			ОК
🔿 <u>D</u> o Not Audit			Cancel
- 🖲 Audit These Events:			
	Success	Failure	<u>H</u> elp
Logon and Logoff			
File and Object Access			
<u>U</u> se of User Rights			
User and <u>G</u> roup Management			
Security Policy Changes			
<u>R</u> estart, Shutdown, and System			
Process Tracking			



Event Detail	🗙 Event Detail 🛛 💌
Date:11/11/99Event ID:624Time:4:01:59 PMSource:SecurityUser:GarudaType:Success AuditComputer:RLA01079Category:Account Management	Date:11/11/99Event ID:636Time:4:07:39 PMSource:SecurityUser:GarudaType:Success AuditComputer:RLA01079Category:Account Management
Description: User Account Created: New Account Name: Sneaker New Domain: RLA01079 New Account ID: S-1-5-21-1643343567-1219717837-1990678075-1002 Caller User Name: Garuda Caller Domain: RLA01079 Caller Logon ID: (0x0,0x2A55) Privileges -	Description: [_ocal Group Member Added: Member: S-1-5-21-1643343567-1219717837-1990678075-1002 Target Account Name: Administrators Target Domain: Builtin Target Account ID: S-1-5-32-544 Caller User Name: Garuda Caller Domain: RLA01079 Caller Logon ID: (0x0,0x2A55) Privileges:
Date: Bytes C Words	D <u>ata:</u> ● <u>Bytes</u> ● <u>W</u> ords ■ ■ ■ ■ ■ ■ ■ ■ ■ ■ ■ ■ ■

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Security Policy Changes

Audit Policy			×
Computer: RLA01079			ОК
🔿 <u>D</u> o Not Audit			Cancel
- 🖲 Audit These Events:			
	Success	Failure	
Logon and Logoff			
File and Object Access			
<u>U</u> se of User Rights			
User and <u>G</u> roup Management			
Security Policy Changes			
<u>R</u> estart, Shutdown, and System			
Process Tracking			

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Event Detail - Policy Change

Event Detail	×
Date:11/22/99Event ID:612Date:11/22/99Event ID:612Time:1:18:44 PMSource:SecurityTime:1:18:44 PMSource:SecurityUser:GarudaType:Success AuditUser:GarudaType:Success AuditComputer:RLA01079Category:Policy ChangeComputer:RLA01079Category:Policy Change	
Description: Description: Audit Policy Change: Mult Policy: Success Failure Success Failure System Logon/Logoff Description: Policy Change: Success Failure System System Logon/Logoff Success Description: Policy Change Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy Policy	
Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Bytes Data: Data: Data: Data: <td< td=""><td>4</td></td<>	4

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Restart, Shutdown, and System



Audit Policy			×
Computer: RLA01079			ОК
🔿 <u>D</u> o Not Audit			Cancel
_−⊙ <u>A</u> udit These Events:			
	Success	Failure	
Logon and Logoff			
File and Object Access			
<u>U</u> se of User Rights			
User and <u>G</u> roup Management			
Security Policy Changes			
<u>R</u> estart, Shutdown, and System			
Process Tracking			

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Event Detail: Restart, Shutdown, and System

Event Deta	il			×
Date: Time: <u>U</u> ser: Co <u>m</u> puter:	11/14/99 5:17:17 PM NT AUTHORITY\SYSTE RLA01079	Event ID: Source: Type: Category:	512 Security Success Audit System Eivent	
<u>D</u> escription	n:			
Windows	NT is starting up.			4
				-
D <u>a</u> ta: C	Bytes C Words			
4				ک ۲
Clo	Dise Previous	<u>N</u> ext	<u>H</u> elp	

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Starting NT – Authentication and Trusted Logon

vent Detail 🗙	Event Detail
Date:17.01.00Event ID:514Time:08:26:34Source:SecurityUser:NT AUTHORITY\SYSTEType:Success AuditCompute:RLA01079Category:System Event	Date:17.01.00Eivent ID:515Time:08:26:34Source:SecurityUser:NT AUTHORITY\SYSTEType:Success AuditComputer:RLA01079Category:System Eivent
Description: An authentication package has been loaded by the Local Security Authority. This authentication package will be used to authenticate logon attempts. Authentication Package Name: msv1_0	Description: A trusted logon process has registered with the Local Security Authority. This logon process will be trusted to submit logon requests. Logon Process Name: Winlogon\MSGina
D <u>a</u> te:	Date: Bytes O Words

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Process Tracking



Audit Policy			×
Computer: RLA01079			ОК
🔘 <u>D</u> o Not Audit			Cancel
_ ● Audit These Events:			
	Success	Failure	
Logon and Logoff			
File and Object Access			
<u>U</u> se of User Rights			
User and <u>G</u> roup Management			
Security Policy Changes			
<u>R</u> estart, Shutdown, and System			
Process Tracking			

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pplications Processes	Performa	nce						mputer Em
Image Name	PID	CPU	CPU Time	Mem Usage	Handles	Threads	•	
sens.exe	166	00	0:00:00	2120 K	51	6		
Report.exe	177	97	1:55:29	1792 K	36	3		
inetinfo.exe	187	00	0:00:00	3104 K	69	4		
LOADWC.EXE	191	00	0:00:00	900 K	24	2		
cidaemon.exe	197	00	0:00:00	40 K	60	1		
nukenabber.exe	218	00	0:00:03	4476 K	113	3		
PGPtray.exe	220	00	0:00:00	1428 K	19	1		
USERINIT.EXE	227	00	0:00:00	1080 K	39	3		
WINWORD.EXE	236	00	0:00:06	11820 K	147	3		
OUTLOOK.EXE	240	00	0:00:20	16240 K	226	10		
mgaqdesk.exe	241	00	0:00:00	808 K	18	1		
EXPLORER.EXE	242	00	0:00:25	6172 K	136	6		
CMD.EXE	247	00	0:00:00	1080 K	20	1		
mgahook.exe	249	00	0:00:00	584 K	13	1		
CMD.EXE	250	00	0:00:00	1140 K	21	1		
AcroTray.exe	251	00	0:00:00	712 K	18	1		
NDDEAGNT.EXE	269	00	0:00:00	824 K	16	1		
TASKMGR.EXE	282	01	0:00:02	1160 K	26	3		
USRMGR.EXE	283	00	0:00:00	2272 K	25	1	•	
						End Pro	cess	

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Siemer Process IDs II Event Detail Event Detail × X 1/13/00 Event ID: 592 1/13/00 Event ID: 592 Date: Date: 6:02:10 PM 6:02:18 PM Time: Source: Security Time: Source: Security Garuda Success Audit Garuda Success Audit User: Type: Type: User: Computer: M26248PP Category: Detailed Tracking Computer: M26248PP Category: Detailed Tracking Description: Description: A new process has been created: A new process has been created: New Process ID: 2154714560 New Process ID: 2154491936 Image File Name: CMD.EXE Image File Name: CMD.EXE Creator Process ID: 2154804928 Creator Process ID: 2154714560 User Name: Garuda User Name: Garuda KOSMOS KOSMOS Domain: Domain: (0x0.0x128FD1) (0x0.0x128FD1) Logon ID: Logon ID: • Bytes • O Words ● Bytes ● Words Previous Close Previous Next <u>H</u>elp Close Next Help

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Process IDs III – Windows 2000



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Process IDs IV – Windows 2000





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One Click - Many Security Events



Audit Logs for a new user account:

- Event 632: Global Group Member Added
- Event 624: User Account Created
- Event 642: User Account Changed
- Event 636: Local Group Member Added



Additional Auditing settings



- Auditing Backup and Restore Activities Key: HKLM\System\CCS\Control\Lsa\ Data: FullPrivilegeAuditing Type: REG_BINARY Value: 1
- Base Object Auditing Key: HKLM\System\CCS\Control\Lsa\ Data: AuditBaseObjects Type: REG_DWORD Value: 1

"Account Lockout Event" stored on PDC



Windows NT 4.0 SP4+

When a user enters too many incorrect passwords in an attempt to log on to a domain, the account is locked out and an event is written to the workstations security logs (if auditing is enabled here). With SP4 this event is also written to the PDC security log.

Audit Policy



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Event Log Settings



Event Log Settings	×
Change Settings for Security Log	OK
	Cancel
Maximum Log Size: 4096 🚔 Kilobytes (64K Increments)	De <u>f</u> ault
Event Log Wrapping	<u> </u>
Overwrite Events as <u>N</u> eeded	
O Overwrite Eivents <u>O</u> lder than Days	
O Do Not Overwrite Events (Clear Log Manually)	

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Lesson learnt



- You can get a lot of information from the logs
- Not all infomation is relevant
- Some information is wrong
- You can't get too much information about logging from MS

Filter Suspicious Events from all Events



- 512 Windows NT is starting up
- 513 Windows NT is shutting down
- 517 The audit log was cleared
- 528 Successful logon
- 529 Unknown user name or bad password
- 530 Account logon time restriction violation
- 531 Account currently disabled
- 532 The specified user account has expired
- 533 User not allowed to log on at this computer
- 534 User has not been granted the requested logon type

- 535 The specified account's password has expired
- 536 The NetLogon component is not active
- 537 An unexpected error occured during logon
- 538 User Log off
- 539 Account locked out
- 576 Special privileges assigned to new logon
- 608 User Right Assigned
- 609 User Right Removed
- 612 Audit Policy Change
- 624 User Account Created
- 643 Domain Policy Changed

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Suspicious Auditing Events







Administrator and "Well Known Accounts"

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Filter Suspicious Events from all Events



Filter			×
-View From-		View Through	OK
• Eirst Eve	ent	East Event	Cancel
🔅 🔘 Events <u>(</u>	<u>)</u> n:	○ Events 0 <u>n</u> :	
11/	22/99 🖶	11/28/99	<u>C</u> lear
12:50:	58PM 🖶	1:06:13PM	<u>H</u> elp
Types Inform Warnin Error	ation ng	 Success Audit Failure Audit 	
Sou <u>r</u> ce:	(All)	•	
Category:	(All)	•	
<u>U</u> ser:			
Co <u>m</u> puter:			
E <u>v</u> ent ID:	529		

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Response

Deficiencies of NT Logging



Portscans can not be detected

- BOF Back Officer Friendly (NFR) <u>http://www.nfr.com</u>
- Nuke Nabber 2.9a (Dynamsol) <u>http://www.dynamsol.com/puppet/</u>
- NetMonitor v0.90 (LeechSoftware) <u>http://www.leechsoftware.com</u>
- BlackICE <u>http://advide.networkice.com</u>
- Workstation logs are kept locally
 - See next slide

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Logging Host

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- EvntSLog 2.0
- NTSlog 1.02, 2.0
- NTOLog
- Siemens CERT





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Further Tools



- Lservers (NT Objectives, Inc.)
- NPList (NT Objectives, Inc.)
- WDumpEvt 1.2
- ELDump 0.12
- ELSaveClr
- NTLast
- Tripwire 2.1 for Windows NT



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Literature etc.



MS Knowledgebase: Q174073, Auditing User Authentication Q174074, Security Event Descriptions Q163905, Auditing User Right Assignment Changes Q101366, Definition and List of Windows NT Advanced User Rights et al.

found at http://support.microsoft.com/support/search/c.asp

Books etc.:

Microsoft – Windows NT 4.0 Security, Audit and Control Microsoft Press – Microsoft Technical ReferenceWind Windows NT Server Resource Kit 4.0 Visual C++: winnt.h



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